

1. Ider	tification of users	
Alice	y(password) Access control x=f(y) f(.) – one way function	
	mark: ne use of one-way function it is assumed that "y" is distributed trully nly. Otherwise – nothing is taken for granted.	
GoodStoring	<i>is approach:</i> bassword can be forgotten by Alice, g of password in memory increases the risk of its theft, bassword can be easy memorized but it can be easy found by ary	
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Specification of the notion " ω 'is close to ω ": 1. Hamming metrics $\rho_{\rm H}(\omega,\omega)$ = is the number of position in which binary vectors ω and ω are different Example. $\omega = 10011 \ \rho_H(\omega, \omega') = 3$ *ω*=01010 (This metrics is very natural for BI) 2. Set difference $\rho_{s}(\omega, \omega') = \rho_{s}(A, A') = \frac{1}{2} |A\Delta A'|,$ Δ where " Δ " is symmetric difference of the sets *A* and *A*'. |B| is a cardinality of B. **Example.** $U = \{1, 2, 3, 4, 5, 6\}, A \subseteq U, A = 1, 2, 3, 4$ $B \subseteq U, B = 3,4,5,6, A\Delta B = 1,2,5,6$ $\rho_s(A,B) = 2$ Psychometry: A selection of small subset from a large universe (e.g. favorite movies)

$\rho_e(\omega, \omega') = \frac{1}{2}$	(is the minimum number of omissions and insertions that
are needed in	order to transform ω into ω')
Example.	$\omega = 10101\hat{1}$ $\omega' = 110111$ $\rho_{e}(\omega, \omega') = 1$
(This distance	e is very natural in recognition of handwritten text.)

3. Exact definition SS and FE: Let us M be metrix space, $|\mathbf{M}| = N$, $\rho(,)$ - is given metrix (M, m, m', t) - SS is randomized mapping $M \rightarrow \{1,0\}^*$ with a following properties: $\exists \operatorname{Rec}(\ldots)$ such that $\omega = \operatorname{Rec}(\omega', SS(\omega))$ for all (i) $\omega, \omega' \in \mathbf{M}, \ \rho(\omega, \omega') \leq t$. $H_{\infty}(W \mid SS(W)) \ge m'$ (ii) for any random variable W on M, having $H_{\infty}(W) = m$, where $H_{\infty}(W) = -\log(\max_{W} \Pr(W))$ $H_{\infty}(W \mid SS(W)) = -\log(E_{s}\{2^{-H_{\infty}(W \mid SS(W)=s)}\})$ Remark: The condition (ii) makes impossible to recover W given s = SS(W) unconditionally (that means that it cannot be recovered 9 independently on computing power of opponent!)

 $(M, m, l, t, \varepsilon)$ - FE is determined by two procedures: (Gen, Rep): (i) Gen – is randomized mapping $W \in \mathbf{M} \Longrightarrow R \in \{0,1\}^l$ ▶Р. for which $SD(\langle R, P \rangle, \langle U_1, P \rangle) \le \varepsilon$, if $H_{\infty}(W) \ge m$. (ii) Rep – is deterministic procedure $R = \text{Rep}(\omega', P)$, if $\rho(\omega, \omega') \leq t$, where SD(X,Y) - is statistical distance between two probability distributions on X and Y, e.g.: $SD(X,Y) = \frac{1}{2} \sum_{v} |P_r(X=v) - P_r(Y=v)|.$ *Remark:* The small value *SD*(...) means that the probability distribution on $R \in \{0,1\}^l$ is close to uniform distribution (U_1) even known P, (e.g. it is close to truly random variable). 10





4. Design of FE given SS and SE (strong extractors)

Definition SE.

SE – is randomized mapping: $\{0,1\}^n, \{0,1\}^d \rightarrow \{0,1\}^l$ such that for input strings $\omega \in \{0,1\}^n$ with arbitrary probability distribution but with min entropy at least m';

$$SD(SE(W,X),X;U_{l+d}) \leq \varepsilon,$$

if $X \in \{0,1\}^d$, $Pr(X) = U_1$.

Clear demonstration of SE.

This is a generator of "good" output randomness (close to uniform distribution) presented as binary string of shorter length l than it's input binary string of the length n that has "bad" randomness given short (length d) truly random seed, whereas the knowledge of this seed does not affect on good output randomness.







Practical implementation of SS: If C is linear code then $SS(\omega) = syn_c(\omega)$ (syndrom to w on the code C), e.g. $SS(\omega) = \omega H$, where H is check matrix of the code C. In this case a randomness X is not required at all! In fact, let us take $s=SS(\omega)=\omega H$ and $\omega = \omega e$, where e is error pattern over the weight at most t. Then we have $\omega' H = (\omega \oplus e) H =$ = $\omega H \oplus eH$ that gives relation $eH = \omega H \oplus s$. Since C is capable to correct all errors of the weight at most *t*, we can recover *e* on given syndrom *eH*. After that we can recover ω as follows: $\omega = \omega \hat{\oplus} e$. If $W \in U_n$, e.g. $H_{\infty}(W) = m = n$, then we get FE: R=X. $P = \omega \oplus C(X),$ $REP(\omega', P) = D(P \oplus \omega')$ This construction does not work in general case, because 16 if $\omega \notin U_n$, then P gives a leakage of information about X=R.





Reducing AU to SE

Statement [8].

Let us consider any m, $\varepsilon > 0$ and $l \le m - 2l$. Then if

 $H = \{h_i : \{0,1\}^n \to \{0,1\}^l\} \text{ is AU for } \delta = 2^{-l}(1+\varepsilon^2) \text{ , it results in the fact that } H \text{ is SE.}$

Thus, if it is known how to design AU then it is known also how to design SE with some given parameters. But constructive methods to design AU are known not so much

Reducing of linear q-ary codes to SE

If $[T,k,(1-1/q-\delta)T]_q$ is some q-ary linear code with given parameters, then the exists $(1/\delta, \sqrt{q\delta/2})$ - extractor that can be presented as $Extr(\omega, x) = [C(\omega)]_{x=i}$, where $C(\omega)$ - code words corresponding to ω and $[.]_{x=i}$ - is a random choice of i-th symbol.

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Selection of SS parameters(see slide 16) (m, m', t): $H_{\infty}(W) = m H_{\infty}(W \mid SS(W)) \ge m' \rho(\omega, \omega') \le t$ $SS(W) = syn_c(\omega) = \omega H$. where H is check matrix of some (n,n-r) linear code. n is the length of the string ω , r is the number of check symbols. Interconnection of the parameters n, r and t is due to Varshamov -Gilbert *bound:* r=nH(2t/n); $H(x) = -x \log x + (1-x) \log(1-x).$ How to determine the requirement to m'? If the best method of statistical finding ω on SS(ω) is used, then the probability of success after $L = 2^s$ trails of ω is $P = 2^{s - m'}$ How to find m for BI? This is open problem . (Experimental testing with an estimation $H(\omega)$ and then an estimation of $H_{\infty}(\omega)$). 20

Open problems

1. Which of BI is preferentially?

2. How can we estimate $H_{\infty}(\omega)$, where ω is BI?

3. How can be established a secure level of $H_{\!_{\infty}}(\omega/SS~(\omega))$ for SS and ϵ for FE.

4. Constructive design of SE given its complexity.

5. Parameter optimization for SS and FE.

6. Parameter optimization for broadcast key distribution system based on FE technique.

7. Practical implementation of identification systems based on particular types of BI.

8. Design of SS and FE for Euclidian metrics on the plane.

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Combining crypto with biometrics in solution of user's identification problem.

Defects of SS- based approach:

1. Estimations of SS-security can fail sometimes.

Example. Consider iris as biometric information. It is binary string of the length 2048 bits with the mean intra-eye symbol error probability 0.127 [4] and the min entropy m=249 bits [5]. Then we get in line with Varshamov-Gilbert bound(SeeSI.28) that $r \ge 2048H(2 \text{ t/h})$, where t~2048×0.128=260, and thus $r \ge H(0.254) \times 2048 = 0.81 \times 2048 = 1658$. It results in trivial inequality $H_{\infty}(W/SS(w)) \ge m-r = -1609...?$

2.SS-based scheme fails completely whenever the original biometrics is stolen.

3.SS-based scheme is not key diversity one. It is inconvenient if user wishes to separate access key for his (her) bank account and to workplace computer.





Performance evaluation of the scheme above[6] $P_{reg} \stackrel{s}{=} \sum_{i=t_{s}-t_{0}+1}^{ns} {\binom{ns}{i}}_{p} p^{i} (1-pq)^{ns-i}, (false rejection rate ~ the probability to reject an (1) identification of valid person)$ where $Pq \stackrel{s}{=} \sum_{i=2^{k-2}+1}^{2^{k}} {\binom{2^{k}}{i}}_{p} p^{i} (1-p)^{2^{k-i}}$ p- symbol error probability in the error pattern **e** for the same person to the amount of burst that corrects RSC. $P_{reg} \stackrel{s}{=} \sum_{i=0}^{ts} {\binom{ns}{i}}_{p} p^{i} q^{i} (1-p^{i}q)^{ns-i} \quad (false acceptance rate ~ the probability of positive (2) identification of invalid person)$ where $Pq' \stackrel{s}{=} \sum_{i=2^{k-2}+1}^{2^{k}} {\binom{2^{k}}{i}}_{p'^{i} (1-p')^{2^{k-i}}}$ p`-the symbol error probability in the error pattern **e** for different persons.

The results of calculations PFRR, PFAR for different parameters CC and "channel parameters" p, p`, to.

We get for the proposed in [4] CC parameters:

P_{FRR} ≈ **2.2** · 10⁻⁵ **P**_{FAR} ≈ **7.35** · 10⁻⁵ if to≤6, p=0.124, p`=0.3, l=140

It is possible to improve the efficiency of scheme if to select the following parameters: k=5, k+1=6, $2^{k} = 32$, ks=40, ns=64, ts=12, q=64

Then we get: $P_{FRR} \approx 1.9 \cdot 10^{-7}$ $P_{FAR} \approx 1.9 \cdot 10^{-14}$ if to≤6, and the key length l=240

Further improvement can be obtain by changing encoding / decoding procedures if we use HC in order to correct and detect errors, whereas RSC is used in order to correct both errors and erasures that decreases a complexity of decoding procedure.





Nonlinear encryption of the secret identification key by BI (Fuzzy Vault (FV) scheme [1])

Encryption 1. Sekret key $K \to P_K(z) = \sum_{i=1}^k k_i z^{i-1}$, where $K \to (k_1, k_2, ..., k_k)$, $k_i \in GF(q)$ 2. $BI \to (x_1, x_2, ..., x_t)$ $x_i \in GF(q)$, t > k3. $y_i = P_K(x_i)$, i = 1, 2, ..., t, $y_i \in GF(q)$ $(y_i - \text{code word of q-ary }(t,k) \text{ Reed Solomon Code }(\text{RSC}))$ 4. Random generation $R = [\tilde{x}_i, \tilde{y}_i], i = 1, 2, ..., r - t, r > t$, where $\tilde{x}_i \neq x_j, \tilde{y}_i \neq y_j, \forall i, j$ 5. $FV \to S = [S_x, S_y] = \phi(T, R)$ where $T = [x_i, y_i]_{i=1}^t$, $R = [\tilde{x}_i, \tilde{y}_i]_{i=1}^{r-t}$, $\phi(..., ..)$ - random shuffling of pairs Decryption 1. To get $FV \to S$ 2. $BI \to T'_x = [x'_i]_{i=1}^t$ 3. $P_x = \{s \in S_x : s = Argmin_{s \in S_x} \rho(s, z), z \in T'_x\},\$ where $\rho(s, z)$ is some metric (distance between s and z) 4. $P_x \rightarrow (P_x, P_y),\$ where $P_y = \{y \in S_y : x \in P_x\}$ 5. $(P_x, P_y) \rightarrow \tilde{P}_K(z) \rightarrow \tilde{K}$ (correction of errors and erasures by RSC) Modification of the decryption step (3) 3'. $P'_x = \{s \in S_x : s = Argmin \ \rho(s, z), \ \rho(s, z) \le t_0 \ z \in T'_x\}$ Particular cases of metrics $\rho(s, z)$ 1. Hamming metric: $\rho(S, Z) = \rho_H(S, Z) = \{1: S_l \ne Z_l, S = \{S = l\}_1^m, \ \{Z = l\}_1^m \}$ 2. Euclidean metric: $\rho(S, Z) = \sum_{i=1}^m (S_i - Z_i)^2$

3. Set distance metric: P_x=S_x∩T'_x *Error correction capability of RSC*d=t-k+1 (minimal code distance)
δ= t-k/2 (the maximum number of errors that can be corrected for sure)

If RSC corrects δ' erasures it is still able to correct in addition δ₀=t-k-δ'/2 errors for sure *Example*Let us assume that a set of favorable movies is BI. L=10⁴ (list of movies), q=2¹⁴, t=22, k=14. The total number of secret keys K is (2¹⁴)¹⁴=2¹⁹⁶, d=22-14+1=9, δ=4 either 4 erasures and 2 errors. This mean that another person can get access to

E-mail address some person which distribute his (or her) FV on Internet if and only if they share at least 18 favorable movies.

Security of FV scheme

Goal of an attacker

To find secret key K given FV and the full knowledge of its design.

Brute force attack

Select randomly k elements from the set S_x given $FV = (S_x, S_y)$. If the chosen set

 $A \subseteq T_x$, where $T_x = [x_i]_{i=1}^t$, then it results in a revealing of the secret *K*, because

polynomial can be correctly interpolated by its k values.

The probability that such attack be successful is:

$$P_{sa} = \frac{\binom{t}{k}}{\binom{r}{k}} \Rightarrow P_{sa}^{-1} = \frac{\binom{r}{k}}{\binom{t}{k}} \sim \left(\frac{r}{t}\right)^k \le 1.1 \left(\frac{r}{t}\right)^k, \text{ for } r > t > 5 \quad [3]$$

How to verify that the key obtained after attack is correct?

1. Apply this key to decrypt some address or to be identified (but this way is possible not always).

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2. If the recovered polynomial $\tilde{P}_{\kappa}(z)$ is correct that is $\tilde{P}_{\kappa}(z)=P_{\kappa}(z)$ then: $P_{\kappa}(x_i)=y_i$ for at least *t-k* values $x \in T_x$. Otherwise it is true only with the probability q^{-1} . This fact allows to verify if the key chosen by attacker is correct or not. The attacker can recover

the secret key K in $L \sim 8 \cdot k \log^2(k) \cdot \left(\frac{r}{t}\right)^k$ operations [3]

Examples of the use FV for different BI

1. Iris code (Binary strings of the length 2048)

Let us select: $q=2^{16}$, $t=2^{7}$, k=10 (this provides 160 bit key length). Then RSC has $\delta = \frac{128-10}{2} = 59$ error capability for sure. If we let the probability of bit error for intra-eye with mask is of about 0.03 [4] then the q-ary symbol probability will be $1-(1-0.03)^{16} \approx 0.4$ The averaged number of q-ary errors on the block of RSC is $128 \cdot 0.4 \approx 51$ The probability of incorrect decoding by RSC is:



Conclusion and open problems	
1.Combining crypto with biometrics provides additional security level for user identification if the original biometrics are stolen.	
2.Combinig scheme (CS) may solve key diversity problem (the use of different access keys for different identification points) only if precautions are taken in addition to CS. Generally speaking, it is still open problem.	
3.A choice of the CS type depends on the types of biometrics. So for iris BI seems to be better to use CS based on concatenated codes whereas FV is better both for fingerprinting BI and for sharing of tastes BI.	
4.Security of CS can be considered only as partly solved problem because not all attacks on them have been investigated in details.	
5.Nevertheless CS are looking as very perspective methods and they have promising practical applications and offer interesting open problems for further theoretical investigation.	
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